

PCT

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Comparable to FORM PTO-1390

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371</b>		Attorney's Docket No. <b>FBR2173P0010US</b>
		U.S. Application No. (if known see 37 CFR 1.53) <b>08/696987</b>
INTERNATIONAL APPLICATION NO. <b>PCT/AU95/00086</b>	INTERNATIONAL FILING DATE <b>23 February 1995</b>	PRIORITY DATE CLAIMED <b>25 February 1994</b>
TITLE OF INVENTION <b>METHOD AND DEVICE FOR THE PROVOCATION OF AIR PASSAGE NARROWING AND/OR THE INDUCTION OF SPUTUM</b>		
APPLICANT(S) FOR DO/EO/US <b>Anderson, Sandra Doreen</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unsigned**)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: A copy of International Application No. WO95/222993, as a precautionary copy of the International Application; a copy of the International Search Report (attached to the enclosed copy of International Application No. WO95/222993); and a copy of the International Preliminary Examination Report.

U.S. APPLICATION NO. (if known see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/AU95/00086		ATTORNEY'S DOCKET NUMBER FBR2173P0010US	
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO ..... \$880.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$680.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$750.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.44(a)(2)) paid to USPTO ..... \$1,010.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$94.00				CALCULATIONS          1,010.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1,010.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	21 - 20 =	1	X \$ 22.00	\$ 22.00	
Independent Claims	2 - 3 =	0	X \$ 78.00	\$ 0.00	
Multiple dependent claim(s) (if applicable)			+ \$250.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,162.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	
SUBTOTAL =				\$ 1,162.00	
Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,162.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by a appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 0.00	
TOTAL FEES ENCLOSED =				\$ 1,162.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$

- a. ☒ A check in the amount of \$1,162.00 to cover the above fees is enclosed.
- b. \_\_\_ Please charge my Deposit Account No. 04-1644 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1644. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Sent all correspondence to:

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Allen J. Hoover  
Signature  
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Name

Reg. No. 24,103  
Registration Number